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used for NSF testing. The integrated average temperature measured during the test may be lower than the range specified by the DOE rating temperature specifications, provided in paragraph (b)(3) of this section, but may not exceed the upper value of the specified range. Ambient temperatures and/or humidity values may be higher than those specified in the DOE test procedure

For equipment manufactured (4) prior to January 1, 2016, determine the volume of each covered commercial refrigerator, freezer, or refrigeratorfreezer using the methodology set forth in the ANSI/AHAM HRF-1-2004, "Energy, Performance and Capacity of Household Refrigerators, Refrigerator-Freezers and Freezers" (incorporated by reference, see §431.63), section 3.21, "Volume," sections 4.1 through 4.3. "Method for Computing Total Refrigerated Volume and Total Shelf Area of Household Refrigerators and Household Wine Chillers," and sections 5.1 through 5.3. "Method for Computing Total Refrigerated Volume and Total Shelf Area of Household Freezers." For equipment manufactured on or after January 1, 2016, determine the volume of any covered commercial refrigerator, freezer, refrigerator-freezer, or ice-cream freezer using the method set forth in the HRF-1-2008 (incorporated by reference, see §431.63), section 3.30, "Volume," and sections 4.1 through 4.3, "Method for Computing Refrigerated Volume of Refrigerators, Refrigerator-Freezers, Wine Chillers and Freezers."

[70 FR 60414, Oct. 18, 2005, as amended at 77 FR 10318, Feb. 21, 2012]

ENERGY CONSERVATION STANDARDS

§ 431.66 Energy conservation standards and their effective dates.

- (a) In this section-
- (1) The term "AV" means the adjusted volume (ft^3) (defined as $1.63 \times frozen$ temperature compartment volume (ft^3) + chilled temperature compartment volume (ft^3)) with compartment volumes measured in accordance with the Association of Home Appliance Manufacturers Standard HRF1–1979.
- (2) The term "V" means the chilled or frozen compartment volume (ft³) (as

defined in the Association of Home Appliance Manufacturers Standard HRF1–1979).

- (3) Except as to service over the counter, self-contained, medium temperature commercial refrigerators manufactured on or after January 1, 2012, the term "TDA" means the total display area (ft 2) of the case, as defined in the ARI Standard 1200–2006, appendix D (incorporated by reference, see § 431.63).
- (b)(1) Except for service over the counter, self-contained, medium temperature commercial refrigerators manufactured on or after January 1, 2012, each commercial refrigerator, freezer and refrigerator-freezer with a self-contained condensing unit designed for holding temperature applications manufactured on or after January 1, 2010, shall have a daily energy consumption (in kilowatt hours per day) that does not exceed the following:

Category	Maximum daily energy con- sumption (kilowatt hours per day)			
Refrigerators with solid doors Refrigerators with transparent doors. Freezers with solid doors	0.10V + 2.04. 0.12V + 3.34. 0.40V + 1.38.			
Freezers with transparent doors. Refrigerator/freezers with solid doors.	0.75V + 4.10. the greater of 0.27AV-0.71 or 0.70.			

- (2) Each service over the counter, self-contained, medium temperature commercial refrigerator (SOC-SC-M) manufactured on or after January 1, 2012, shall have a total daily energy consumption (in kilowatt hours per day) of not more than $0.6 \times \text{TDA} + 1.0$. As used in the preceding sentence, "TDA" means the total display area (ft²) of the case, as defined in the AHRI Standard 1200 (I-P)-2010, appendix D (incorporated by reference, see § 431.63).
- (c) Each commercial refrigerator with a self-contained condensing unit designed for pull-down temperature applications and transparent doors manufactured on or after January 1, 2010, shall have a daily energy consumption (in kilowatt hours per day) of not more than 0.126V + 3.51.
- (d) Each commercial refrigerator, freezer, and refrigerator-freezer with a self-contained condensing unit and

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without doors; commercial refrigerator, freezer, and refrigerator-freezer with a remote condensing unit; and commercial ice-cream freezer manufactured on or after January 1, 2012, shall have a daily energy consumption (in

kilowatt hours per day) that does not exceed the levels specified:

(1) For equipment other than hybrid equipment, refrigerator-freezers or wedge cases:

Equipment category	Condensing unit configuration	Equipment family	Rating temp. (°F)	Operating temp. (°F)	Equipment class designation*	Maximum daily en- ergy consumption (kWh/day)
Remote Condensing Commercial Refrig- erators and Commer- cial Freezers.	Remote (RC)	Vertical Open (VOP).	38 (M) 0 (L)	≥32 <32	VOP.RC.M VOP.RC.L	0.82 × TDA + 4.07 2.27 × TDA + 6.85
ciai i reezers.		Semivertical	38 (M)	≥32	SVO.RC.M	0.83 × TDA + 3.18
		Open (SVO).	0 (L)	<32	SVO.RC.L	2.27 × TDA + 6.85
		Horizontal Open (HZO).	38 (M) 0 (L)	≥32 <32	HZO.RC.M	0.35 × TDA + 2.88 0.57 × TDA + 6.88
		Vertical Closed	38 (M)	≥32	VCT.RC.M	0.22 × TDA + 1.95
		Transparent (VCT).	0 (L)	<32	VCT.RC.L	0.56 × TDA + 2.61
		Horizontal Closed Transparent (HCT).	38 (M) 0 (L)	≥32 <32	HCT.RC.M HCT.RC.L	0.16 × TDA + 0.13 0.34 × TDA + 0.26
		Vertical Closed	38 (M)	≥32	VCS.RC.M	0.11 × V + 0.26
		Solid (VCS).	0 (L)	<32	VCS.RC.L	0.23 × V + 0.54
		Horizontal Closed Solid (HCS).	38 (M) 0 (L)	≥32 <32	HCS.RC.M	0.11 × V + 0.26 0.23 × V + 0.54
		Service Over	38 (M)	≥32	SOC.RC.M	0.51 × TDA + 0.11
Calf Campained Cam	Self-Contained	Counter (SOC).	0 (L)	<32	SOC.RC.L VOP.SC.M	1.08 × TDA + 0.22 1.74 × TDA + 4.71
Self-Contained Com- mercial Refrigerators	(SC).	Vertical Open (VOP).	38 (M) 0 (L)	≥32 <32	VOP.SC.L	4.37 × TDA + 4.71
and Commercial Freezers without Doors.	(5.5)	(101)	5 (4)			11.82
		Semivertical	38 (M)	≥32	SVO.SC.M	1.73 × TDA + 4.59
		Open (SVO).	0 (L)	<32	SVO.SC.L	4.34 × TDA + 11.51
		Horizontal Open	38 (M)	≥32	HZO.SC.M	0.77 × TDA + 5.55
Commercial Ice-Cream	Remote (RC)	Vertical Open	0 (L) - 15 (l)	<32 ≤-5**	HZO.SC.L VOP.RC.I	1.92 × TDA + 7.08 2.89 × TDA + 8.7
Freezers.		(VOP). Semivertical Open (SVO).			SVO.RC.I	2.89 × TDA + 8.7
		Horizontal Open (HZO).			HZO.RC.I	0.72 × TDA + 8.74
		Vertical Closed Transparent			VCT.RC.I	0.66 × TDA + 3.05
		(VCT). Horizontal Closed Transparent			HCT.RC.I	0.4 × TDA + 0.31
		(HCT). Vertical Closed Solid (VCS).			VCS.RC.I	0.27 × V + 0.63
		Horizontal Closed Solid (HCS).			HCS.RC.I	0.27 × V + 0.63
		Service Over Counter (SVO).			SOC.RC.I	1.26 × TDA + 0.26
	Self-Contained (SC).	Vertical Open (VOP).			VOP.SC.I	5.55 × TDA + 15.02
		Semivertical Open (SVO).			SVO.SC.I	5.52 × TDA + 14.63
		Horizontal Open (HZO).			HZO.SC.I	2.44 × TDA + 9
		Vertical Closed Transparent			VCT.SC.I	0.67 × TDA + 3.29
		(VCT). Horizontal Closed Transparent			HCT.SC.I	0.56 × TDA + 0.43
		(HCT). Vertical Closed Solid (VCS).			vcs.sc.i	0.38 × V + 0.88
		Horizontal Closed Solid (HCS).			HCS.SC.I	0.38 × V + 0.88

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Equipment category	Condensing unit configuration	Equipment family	Rating temp.	Operating temp.	Equipment class designation*	Maximum daily energy consumption (kWh/day)
		Service Over Counter (SVO).			SOC.SC.I	1.76 × TDA + 0.36

^{*}The meaning of the letters in this column is indicated in the three columns to the left.

- (2) For commercial refrigeration equipment with two or more compartments (i.e., hybrid refrigerators, hybrid freezers, hybrid refrigerator-freezers, and non-hybrid refrigerator-freezers), the maximum daily energy consumption (MDEC) for each model shall be the sum of the MDEC values for all of its compartments. For each compartment, measure the TDA or volume of that compartment, and determine the appropriate equipment class based on that compartment's equipment family, condensing unit configuration, and designed operating temperature. The MDEC limit for each compartment shall be the calculated value obtained by entering that compartment's TDA or volume into the standard equation in paragraph (d)(1) of this section for that compartment's equipment class. Measure the calculated daily energy consumption (CDEC) or total daily energy consumption (TDEC) for the entire case:
- (i) For remote condensing commercial hybrid refrigerators, hybrid freezers, hybrid refrigerator-freezers, and non-hybrid refrigerator-freezers, where two or more independent condensing units each separately cool only one compartment, measure the total refrigeration load of each compartment separately according to the ARI Standard 1200-2006 test procedure (incorporated by reference, see §431.63). Calculate compressor energy consumption (CEC) for each compartment using Table 1 in ARI Standard 1200-2006 using the saturated evaporator temperature for that compartment. The CDEC for the entire case shall be the sum of the CEC for each compartment, fan energy consumption (FEC), lighting energy consumption (LEC), anti-condensate energy consumption (AEC), defrost energy consumption (DEC), and condensate evaporator pan energy consumption (PEC) (as measured in ARI Standard 1200-2006).

- (ii) For remote condensing commercial hybrid refrigerators, hybrid freezers, hybrid refrigerator-freezers, and non-hybrid refrigerator-freezers, where two or more compartments are cooled collectively by one condensing unit, measure the total refrigeration load of the entire case according to the ARI Standard 1200–2006 test procedure (incorporated by reference, see §431.63). Calculate a weighted saturated evaporator temperature for the entire case by:
- (A) Multiplying the saturated evaporator temperature of each compartment by the volume of that compartment (as measured in ARI Standard 1200–2006).
- (B) Summing the resulting values for all compartments, and
- (C) Dividing the resulting total by the total volume of all compartments.

Calculate the CEC for the entire case using Table 1 in ARI Standard 1200–2006 (incorporated by reference, see § 431.63), using the total refrigeration load and the weighted average saturated evaporator temperature. The CDEC for the entire case shall be the sum of the CEC, FEC, LEC, AEC, DEC, and PEC.

- (iii) For self-contained commercial hybrid refrigerators, hybrid freezers, hybrid refrigerator-freezers, and non-hybrid refrigerator-freezers, measure the TDEC for the entire case according to the ARI Standard 1200–2006 test procedure (incorporated by reference, see § 431.63).
- (3) For remote-condensing and self-contained wedge cases, measure the CDEC or TDEC according to the ARI Standard 1200–2006 test procedure (incorporated by reference, see § 431.63). The MDEC for each model shall be the amount derived by incorporating into the standards equation in paragraph (d)(1) of this section for the appropriate equipment class a value for the TDA that is the product of:

^{**}lce-cream freezer is defined in 10 CFR 431.62 as a commercial freezer that is designed to operate at or below -5 °F (-21 °C) and that the manufacturer designs, markets, or intends for the storing, displaying, or dispensing of ice cream.

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(i) The vertical height of the air-curtain (or glass in a transparent door) and (ii) The largest overall width of the case, when viewed from the front.

[70 FR 60414, Oct. 18, 2005, as amended at 74 FR 1140, Jan. 9, 2009; 78 FR 62993, Oct. 23, 2013]

Subpart D—Commercial Warm Air Furnaces

SOURCE: 69 FR 61939, Oct. 21, 2004, unless otherwise noted.

§ 431.71 Purpose and scope.

This subpart contains energy conservation requirements for commercial warm air furnaces, pursuant to Part C of Title III of the Energy Policy and Conservation Act, as amended, 42 U.S.C. 6311-6317.

[69 FR 61939, Oct. 21, 2004, as amended at 70 FR 60415, Oct. 18, 2005]

§ 431.72 Definitions concerning commercial warm air furnaces.

The following definitions apply for purposes of this subpart D, and of subparts J through M of this part. Any words or terms not defined in this Section or elsewhere in this part shall be defined as provided in Section 340 of the Act.

Basic model means all units of a given type of covered product (or class thereof) manufactured by one manufacturer, having the same primary energy source, and which have essentially identical electrical, physical, and functional (or hydraulic) characteristics that affect energy consumption, energy efficiency, water consumption, or water efficiency.

Commercial warm air furnace means a warm air furnace that is industrial equipment, and that has a capacity (rated maximum input) of 225,000 Btu per hour or more.

Thermal efficiency for a commercial warm air furnace equals 100 percent minus percent flue loss determined using test procedures prescribed under §431.76.

Warm air furnace means a self-contained oil-fired or gas-fired furnace designed to supply heated air through ducts to spaces that require it and includes combination warm air furnace/

electric air conditioning units but does not include unit heaters and duct furnaces.

[69 FR 61939, Oct. 21, 2004, as amended at 76 FR 12503, Mar. 7, 2011]

EFFECTIVE DATE NOTE: At 78 FR 79598, Dec. 31, 2013, § 431.72 was amended by revising the definition of "basic model", effective Jan. 30, 2014. For the convenience of the user, the revised text is set forth as follows:

§ 431.72 Definitions concerning commercial warm air furnaces.

* * * * * *

Basic model means all commercial warm air furnaces manufactured by one manufacturer within a single equipment class, that have the same nominal input rating and the same primary energy source (e.g. gas or oil) and that do not have any differing physical or functional characteristics that affect energy efficiency.

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TEST PROCEDURES

§ 431.75 Materials incorporated by reference.

(a) General. DOE incorporates by reference the following test procedures into subpart D of part 431. The materials listed have been approved for incorporation by reference by the Director of the Federal Register in accordance with 5 U.S.C. 552(a) and 1 CFR part 51. Any subsequent amendment to the listed materials by the standardsetting organization will not affect the DOE regulations unless and until such regulations are amended by DOE. Materials are incorporated as they exist on the date of the approval, and a notice of any changes in the materials will be published in the FEDERAL REG-ISTER. All approved materials are available for inspection at the National Archives and Records Administration (NARA). For information on the availability of this material at NARA, call 741–6030 or go to: http:// $www.archives.gov/federal_register/$ $code_of_federal_regulations$ /

ibr_locations.html. Also, these materials are available for inspection at U.S. Department of Energy, Office of Energy Efficiency and Renewable Energy, Building Technologies Program, 6th Floor, 950 L'Enfant Plaza SW.,